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APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE Thomas R. Pickering

11/445,360 05/31/2006 20051246-US-NP

74380 Xerox Corporation (CDFS) 445 Broad Hollow Rd.-Suite 420 Melville, NY 11747

**CONFIRMATION NO. 8035 POWER OF ATTORNEY NOTICE** 



Date Mailed: 05/13/2011

#### NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 05/04/2011.

 The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

/ttkim/

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### United States Patent and Trademark Office

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APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE 11/445,360 05/31/2006 Thomas R. Pickering 20051246-US-NP

97903 MDIP LLC PO Box 2630 Montgomery Village, MD 20886

**CONFIRMATION NO. 8035** POA ACCEPTANCE LETTER



Date Mailed: 05/13/2011

#### NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 05/04/2011.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/ttkim/				
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### POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(b).						
I hereby						
<b>✓</b> Prac	titioners assoc	iated with the Customer Number:		97903		
OR						
Prac	titioner(s) nam	ed below (if more than ten patent	practitioners are to b	e named, then a cust	tomer number must be i	used):
		Name	Registration Number	N	Vame	Registration Number
any and all	patent applica	to represent the undersigned before tions assigned only to the undersiqued cordance with 37 CFR 3.73(b).	ore the United States gned according to the	Patent and Tradema e USPTO assignmen	ark Office (USPTO) in co nt records or assignment	onnection with documents
Please cha	nge the corres	pondence address for the applicat	ion identified in the a	attached statement ur	nder 37 CFR 3.73(b) to:	
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<b>✓</b> <sub>⊤</sub>	The address associated with Customer Number:					
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Firm or Individual Name						
Address	U CONTRACTO					
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Telephon	8			Citidii		
Assignee N	Assignee Name and Address:					
Xerox Co						
45 Glove	r Avenue					-
Norwalk,	CT 06856					
A	ithic forms to	ogether with a statement und	10x 27 CED 2 72/h	V /Form PTO/SR/0	or aquivalent) is	required to be
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the practi	tioners appo	ointed in this form if the appo application in which this Po	ointed practitions	er is authorized to	act on behalf of the	e assignee,
and must	identity the		TURE of Assignee of			
	The inc	lividual whose signature and title			behalf of the assignee	
Signature	Mai	rd Marchie			Date	
Name		David J. Art	hur		Telephone 585-4	123-9215
Title	itle Associate General Patent Counsel					

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Electronic Acknowledgement Receipt				
EFS ID:	10018727			
Application Number:	11445360			
International Application Number:				
Confirmation Number:	8035			
Title of Invention:	Toner composition having coated strontium titanate additive			
First Named Inventor/Applicant Name:	Thomas R. Pickering			
Customer Number:	74380			
Filer:	Dean H. Nakamura.			
Filer Authorized By:				
Attorney Docket Number:	20051246-US-NP			
Receipt Date:	04-MAY-2011			
Filing Date:	31-MAY-2006			
Time Stamp:	14:14:53			
Application Type:	Utility under 35 USC 111(a)			

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# File Listing:

				Part /.zip	(if appl.)
1 Assig	gnee showing of ownership per 37 CFR 3.73(b).	360.pdf	30117 53bbfa8b91e2470540b5bdce6bc920527fa ec747	no	1

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### Information:

2	Power of Attorney	Power.pdf -	82967	no	1
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		Total Files Size (in bytes):	1	13084	

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#### New Applications Under 35 U.S.C. 111

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#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

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STATEMENT UNDER	R 37 CFR 3.73(b)
Applicant/Patent Owner: Xerox Corporation	
Application No./Patent No.: 11/445,360	Filed/Issue Date: 31 May 2006
Titled: Toner Composition Having Coated Strontium Titanate	Additive
Xerox Corporation, aCorpora	ition
(Name of Assignee) (Type of	Assignee, e.g., corporation, partnership, university, government agency, etc.
states that it is:	
1. X the assignee of the entire right, title, and interest in;	
2. an assignee of less than the entire right, title, and interest in (The extent (by percentage) of its ownership interest is	
3. the assignee of an undivided interest in the entirety of (a co	omplete assignment from one of the joint inventors was made)
the patent application/patent identified above, by virtue of either:	
the United States Patent and Trademark Office at Reel 01 copy therefore is attached.	n/patent identified above. The assignment was recorded in 7965, Frame 0393, or for which a
OR  B. A chain of title from the inventor(s), of the patent application	n/patent identified above, to the current assignee as follows:
	To:
The document was recorded in the United States	
2. From:	To:
The document was recorded in the United States	
Reel, Frame	, or for which a copy thereof is attached.
3. From:	To:
The document was recorded in the United States	s Patent and Trademark Office at
Reel, Frame	, or for which a copy thereof is attached.
Additional documents in the chain of title are listed on a su	upplemental sheet(s).
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence or concurrently is being, submitted for recordation pursuant to 3	e of the chain of title from the original owner to the assignee was, 7 CFR 3.11.
[NOTE: A separate copy (i.e., a true copy of the original assign accordance with 37 CFR Part 3, to record the assignment in the	nment document(s)) must be submitted to Assignment Division in records of the USPTO. <u>See</u> MPEP 302.08]
The undersigned (whose title is supplied below) is authorized to act on	behalf of the assignee.
/Dean Nakamura/	4 May 2011
Signature	Date
Dean Nakamura	Agent
Printed or Typed Name	Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
11/445,360	05/31/2006	Thomas R. Pickering	20051246-US-NP	8035	
74380 Xerox Corporat	7590 09/10/201 ion (CDFS)	0	EXAM	IINER	
445 Broad Holl	ow RdSuite 420		VAJDA, PETER L		
Melville, NY 1	1/4/		ART UNIT	PAPER NUMBER	
			1795		
			NOTIFICATION DATE	DELIVERY MODE	
			09/10/2010	ELECTRONIC	

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docket@cdfslaw.com mvitale@cdfslaw.com Office.Action@xerox.com



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XEROX CORPORATION (CDFS)
445 BROAD HOLLOW RD.-SUITE 420

HOLLOW RD.-SUITE 420 Application: 11/445,360

MELVILLE, NY 11747 Appellant: Thomas R. Pickering

# Board of Patent Appeals and Interferences Docketing Notice

Appeal No: 2010-011618

Application 11/445,360 was received from the Technology Center at the Board on September 01, 2010 and has been assigned Appeal No: 2010-011618.

In all future communications regarding this appeal, please include both the application number and the appeal number.

The mailing address for the Board is:

BOARD OF PATENT APPEALS AND INTERFERENCES UNITED STATES PATENT AND TRADEMARK OFFICE P.O. BOX 1450 ALEXANDRIA, VIRGINIA 22313-1450

The facsimile number of the Board is 571-273-0052. Because of the heightened security in the Washington D.C. area, facsimile communications are recommended. Telephone inquiries can be made by calling 571-272-9797 and referencing the appeal number listed above.

By order of the Board of Patent Appeals and Interferences.

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
11/445,360	05/31/2006	Thomas R. Pickering	20051246-US-NP	8035	
74380 <b>Xerox Corporat</b>	7590 08/27/201 ion (CDFS)	0	EXAMINER		
445 Broad Holl	ow RdSuite 420		VAJDA, PETER L		
Melville, NY 11747			ART UNIT	PAPER NUMBER	
			1795		
			NOTIFICATION DATE	DELIVERY MODE	
			08/27/2010	ELECTRONIC	

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docket@cdfslaw.com mvitale@cdfslaw.com Office.Action@xerox.com



# **UNITED STATES DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office**

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APPLICATION NO./ CONTROL NO.	FILING DATE	FIRST NAMED INVENTOR / PATENT IN REEXAMINATION		ATTORNEY DOCKET NO.
11445360	5/31/2006	PICKERING, THOMAS R.	. 20051246-US-NP	
				EXAMINER
Xerox Corporation (C 445 Broad Hollow Rd			PE <sup>-</sup>	TER L. VAJDA
Melville, NY 11747			ART UNIT	PAPER
			1795	20100817

DATE MAILED:

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**Commissioner for Patents** 

Reply brief of 06/25/2010 has been noted.

/Mark F. Huff/ Supervisory Patent Examiner, Art Unit 1795

### Attorney Docket No.: 20051246-US-NP (1515-221)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Thomas R. Pickering EXAMINER: Vajda, Peter L.

SERIAL No.: 11/445,360 GROUP: Art Unit 1795

FILING OR 371(C) DATE: May 31, 2006 DATED: June 25, 2010

TITLE: TONER COMPOSITION HAVING COATED

STRONTIUM TITANATE ADDITIVE

Mail Stop APPEAL BRIEF-PATENTS Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Filed Via EFS-Web Confirmation No.: 8035

#### REPLY BRIEF

Dear Sir/Madam:

This Reply Brief is in response to the Examiner's Answer dated April 28, 2010 in the above-identified patent application.

As set forth below, it is respectfully submitted that the references cited by the Examiner are not sufficient to establish a *prima facie* case of obviousness. See MPEP §2142.

CERTIFICATE OF TRANSMISSION UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being transmitted on the date below with the United States Patent and Trademark Office, PO Box 1450, Alexandria, VA-22313-1450, via electronic submission.

Dated: June 25, 2010

Nicole Rispone

Application Serial No.: 11/445,360 REPLY BRIEF

Filing Date: May 31, 2006 Attorney Docket No.: 1515-221

Page 2 of 4

### I. <u>Claims 1, 3-4, 8, 16-18, and 22-25</u>

In the Examiner's Answer, the Examiner asserts "Nishihara teaches the addition of silica particles, titania (titanium oxide) particles, and strontium titanate particles (Col. 9 ln. 48-57)." (Examiner's Answer, page 4.)

While Appellant believes the above-cited section of Nishihara is in error, the Examiner also points to Examples 3, 4, and 9<sup>1</sup> of Nishihara as disclosing the use of at least a second external additive. The Examiner then argues that Appellants citation of Example 9, for its disclosure of inferior results, is a "dubious allegation," asserting that Appellant "cherrypicked" the one inventive example using strontium titanate showing an inferior result to draw this conclusion." (Examiner's Answer, page 9.) However, both Example 9 and Example 26 demonstrate issues where these additives were used. In view of the conflicting results of the Examples, it is respectfully submitted that Nishihara cannot be construed as suggesting benefits to be obtained with a combination of strontium titanate and titanium oxide, as well silica, as presently asserted by the Examiner.

Moreover, as admitted by the Examiner, Nishihara does not teach particle sizes for the silica or titanium oxide particles or the use of sol gel silica.

Yamazaki fails to cure the deficiencies of Nishihara no matter how these references may be combined. While Yamazaki discloses rutile-anatase type titanium dioxide having a major axial diameter of 10 to 100 nm, nowhere does Yamazaki disclose or suggest a toner comprising a resin and having on a surface thereof, a first additive

<sup>&</sup>lt;sup>1</sup> As Example 3 does not combine titanium oxide and strontium titanate with silica, it is not seen how this example relates to the present claims and therefore is not addressed further herein.

Application Serial No.: 11/445,360 **REPLY BRIEF** 

Filing Date: May 31, 2006 Attorney Docket No.: 1515-221

Page 3 of 4

comprising polydimethylsiloxane-coated strontium titanate having a particle size of from about 60 to about 100 nm present in an amount of from about 0.5 to about 3 percent by weight of the toner, a second additive comprising titanium oxide having a particle size of from about 12 to about 40 nm present in an amount of from about 0.1 to about 5 percent by weight of the toner, and a third additive comprising sol gel silica and having a particle size of from about 50 to about 120 nanometers present in an amount of from about 0.1 to about 5 percent by weight of said toner, as recited in claim 1. Nor does Yamazaki disclose or suggest a toner comprising a resin and having on a surface thereof, a first additive comprising polydimethylsiloxane-coated strontium titanate having a particle size of from about 60 to about 100 nm present in an amount of from about 0.5 to about 3 percent by weight of the toner, a second additive comprising titanium oxide having a particle size of from 12 to 40 nm present in an amount of from about 0.1 to about 5 percent by weight of the toner, and a third additive comprising a sol gel silica and having a particle size of from about 120 to about 140 nanometers present in an amount of from about 0.1 to about 5 percent by weight of said toner, as recited in claim 25.

With respect to Combes, according to the Examiner, "Combes specifically teaches that sol gel silica exhibits improved properties over conventional types of silica" (Examiner's Answer, p. 11). However, Combes states that:

Use of the <u>treated</u> sol-gel metal oxide provides significant benefits to the toner compositions. The <u>treated</u> sol-gel metal oxide allows for improved cleaning of residual toner from the photosensitive member. The <u>treated</u> sol-gel metal oxide also prevents filming of the photosensitive member. [Emphasis Added.]

Application Serial No.: 11/445,360 REPLY BRIEF

Filing Date: May 31, 2006 Attorney Docket No.: 1515-221

Page 4 of 4

The sol-gel silica of the present claims is not the "treated" sol-gel metal oxide of Combes.

The Examiner argues that it would have been obvious to replace the fumed silica of

Nishihara with the sol gel silica of Combes. (Examiner's Answer, p. 11.) Such a

replacement would not result in the toner of independent claims 1 and 25.

II. <u>Conclusion</u>

In view of the foregoing, Appellants submit that independent claims I and 25 are

not rendered obvious by any of the cited references, whether taken alone or in any

combination. Claims 3, 4, 8, 16-18, and 22-24 depend, either directly or indirectly, from

claim 1 and incorporate all of its limitations therein. Therefore, it is respectfully

submitted that claims 1, 3, 4, 8, 16-18, and 22-24, are in condition for allowance.

Respectfully submitted,

Malul & her

Michael R. Brew Reg. No. 43,513

Attorney for Applicants

CARTER, DELUCA, FARRELL & SCHMIDT, LLP

445 Broad Hollow Road - Suite 420

Melville, New York 11747 Phone: (631) 501-5700

Fax: (631) 501-3526

MRB/nr

Electronic Acknowledgement Receipt				
EFS ID:	7891746			
Application Number:	11445360			
International Application Number:				
Confirmation Number:	8035			
Title of Invention:	Toner composition having coated strontium titanate additive			
First Named Inventor/Applicant Name:	Thomas R. Pickering			
Customer Number:	74380			
Filer:	Michael Brew/Nicole Rispone			
Filer Authorized By:	Michael Brew			
Attorney Docket Number:	20051246-US-NP			
Receipt Date:	25-JUN-2010			
Filing Date:	31-MAY-2006			
Time Stamp:	14:16:23			
Application Type:	Utility under 35 USC 111(a)			

# **Payment information:**

Submitted with Payment	no
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# File Listing:

					(if appl.)
1	Reply Brief Filed	00104341.PDF	529032 c877b94ebf110cc95c80c56ece148ea55e08 f6a0	no	4

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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/445,360	05/31/2006	Thomas R. Pickering	20051246-US-NP	8035
74380 Xerox Corporat	7590 04/28/201 <sup>1</sup> ion (CDFS)	EXAMINER		
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wierville, IN I T	1/4/		ART UNIT	PAPER NUMBER
			1795	
			NOTIFICATION DATE	DELIVERY MODE
			04/28/2010	ELECTRONIC

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# BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

Application Number: 11/445,360

Filing Date: May 31, 2006

Appellant(s): PICKERING, THOMAS R.

Michael R. Brew For Appellant

**EXAMINER'S ANSWER** 

This is in response to the appeal brief filed 03/15/2010 appealing from the Office action mailed 12/30/2009.

### (1) Real Party in Interest

The examiner has no comment on the statement, or lack of statement, identifying by name the real party in interest in the brief.

### (2) Related Appeals and Interferences

The examiner is not aware of any related appeals, interferences, or judicial proceedings which will directly affect or be directly affected by or have a bearing on the Board's decision in the pending appeal.

### (3) Status of Claims

The following is a list of claims that are rejected and pending in the application: Claims 1, 3, 4, 8, 16-18 and 22-25 are pending and stand finally rejected.

### (4) Status of Amendments After Final

The examiner has no comment on the appellant's statement of the status of amendments after final rejection contained in the brief.

### (5) Summary of Claimed Subject Matter

The examiner has no comment on the summary of claimed subject matter contained in the brief.

Art Unit: 1795

### (6) Grounds of Rejection to be Reviewed on Appeal

The examiner has no comment on the appellant's statement of the grounds of rejection to be reviewed on appeal. Every ground of rejection set forth in the Office action from which the appeal is taken (as modified by any advisory actions) is being maintained by the examiner except for the grounds of rejection (if any) listed under the subheading "WITHDRAWN REJECTIONS." New grounds of rejection (if any) are provided under the subheading "NEW GROUNDS OF REJECTION."

### (7) Claims Appendix

The examiner has no comment on the copy of the appealed claims contained in the Appendix to the appellant's brief.

### (8) Evidence Relied Upon

6,194,116	Nishihara	et al.	2-2001
2004/0137354	Yamazaki et	al.	7-2004
2003/0134217	Combes et a	ıl.	7-2003

Art Unit: 1795

### (9) Grounds of Rejection

The following ground(s) of rejection are applicable to the appealed claims:

Claims 1, 3-4, 8, 16-18 and 22-25 are rejected under 35 U.S.C. 103(a) as being unpatentable over Nishihara *et al.* (US Patent 6194116) in view of Yamazaki *et al.* (US PGP 2004/0137354) and further in view of Combes *et al.* (US PGP 2003/0134217).

Nishihara et al. teach a toner comprising a resin and a colorant (Abstract). In the inventive examples external additives are further added to the toners. Nishihara teaches the addition of silica particles, titania (titanium oxide) particles, and strontium titanate particles (Col. 9 In. 48-57). Furthermore, said strontium titanate particles are taught to be the commercial product SW-100 made by Titan Kogyo K.K. The applicant teaches on p. 7-8 [0028] of the present specification that SW-100 strontium titanate particles manufactured by Titan Kogyo are strontium titanate particles coated with polydimethylsiloxane and having a particles size of around 80 nm. The strontium titanate particles taught by Nishihara therefore inherently are coated with polydimethylsiloxane and have particles sizes of 80 nm. Table 1 of Nishihara discloses strontium titanate particles present in 2 parts by weight of the toner particles (examples 3 and 4). Both toners of examples 3 and 4 also comprise at least a second external additive. Example 3 additionally comprises silica in 1 part by weight of the toner particle and example 4 comprises both silica and titania each being respectively present in 1 part by weight of the toner particle (Table 1, Col. 11-12). The binder resin used for the inventive examples in the toners of Nishihara is a polyester resin (Col. 6 50 – Col. 7 ln. 30), however, other binder resins are taught as being suitable for the toners such as

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styrene, acrylates, and mixtures thereof (Col. 4 ln. 17-39). Nishihara further teaches an image forming process for full color toners and therefore all different colored colorants disclosed in pending claim 17 of the present specification are clearly envisioned (Col. 3 ln. 44-58). Black, magenta, cyan, and yellow colorants are present in the embodiments (Col. 7 ln. 30- Col. 8 ln. 18). Said toner particles are further combined with carrier particles to form a two component developer (Col. 8 ln. 19-45). Nishihara, however, does not teach particle size ranges for the titanium oxide and silicon oxide particles, nor does Nishihara teach that the silica particles be sol gel silica particles.

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Yamazaki *et al.* teach a toner having high mechanical strength and exhibiting a sufficient fixing property in a wide temperature range (Abstract). Yamazaki further teaches that the toner include fine particle external additives made of inorganic oxides (p. 15 [0245]). The preferred external additive is titanium oxide (p. 15-16 [0246]) and Yamaguchi teaches that titanium oxide should have a diameter in the range of from 10 to 100 nm in order to be harder to embed in and liberate from the toner particles; which results in toners that are stable against mechanical stress (p. 16 [0255]). Furthermore, Yamazaki teaches that when silica is used together with titanium oxide an especially excellent effect can be obtained. The combination of the two inorganic oxides is taught to result in a toner with improved fluidity, environmental characteristics (such as moisture resistance) and stable frictional chargeability. Additionally, the combination is taught to prevent the occurrence of toner fog (p. 17 [0261]). The silica is taught to have an average particle size of from 20 to 100 nm (p. 17 [0264])

Combes *et al.* teach a toner comprising a binder, a colorant and surface-treated sol-gel metal oxide particles (Abstract). Combes teaches that the use of sol-gel silica imparts additional advantages over conventional metal oxides such as improved transfer efficiency. Furthermore, Combes teaches that by surface treating said sol-gel silica, filming and cleaning problems can also be improved (p. 1 [0010-13]). The sol-gel silica is taught to show optimum attachment to toner surfaces when it possesses a particle size in the range of from 100 to 150 nm and the most preferred particle size range is taught to be from 50 to 200 nm (p. 4 [0045]).

Nishihara teaches toners comprising strontium titanate, titanium oxide, and silica particles as external additives but does not teach suitable size ranges of these particles. Yamazaki teaches that by employing titanium oxide with a diameter of from 10 to 100 nm in conjunction with silica particles having a diameter of from 30 to 100 nm, improved fluidity, environmental characteristics (such as moisture resistance), stable frictional chargeability and reduced fogging are all achieved. Furthermore, Yamazaki teaches that by supplying the titania particles in this size range other benefits of the titania are realized such as uniform charge distribution of the toner, stable charging properties and excellent fluidity and caking resistance (p. 16 [0255] and [0249]). Combes teaches that by employing sol-gel silica surface additives instead of conventional silica surface additives, toner transfer efficiency can be markedly improved as can toner filming and cleaning. Therefore, it would have been obvious to any person of ordinary skill in the art at the time of the invention to have produced the toner particles of Yamaguchi *et al.* to have supplied the titanium oxide particles in the range of 10 nm to 100 nm as taught by

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Nishihara *et al.* and to have used sol-gel silica particles instead of conventional silica particles as taught by Combes *et al.* This would have produced toners with improved mechanical stability as well as uniform charging and excellent fluidity, caking resistance, transfer efficiency, filming, cleanability, and environmental stability.

### (10) Response to Argument

1. Rejection of claims 1, 3-4, 8, 16-18 and 22-25 as being unpatentable over Nishihara et al. in view of Yamazaki et al. and further in view of Combes et al.

The appellant alleges that the examiner has not established a proper *prima facie* case of obviousness because the references fail to disclose the various elements, do not suggest the claimed combination and because the examiner has not presented a convincing line of reasoning. The appellant further alleges that the examiner has engaged in impermissible hindsight in rejecting the claims. These allegations will be addressed while responding to the appellant's specific arguments rebutting the applied rejection. For the sake of brevity, the appellant's rebuttal of the rejections of independent claim 1 (and the corresponding dependent claims) and independent claim 25 will be addressed in concurrence as they differ only in ranges of the particle size of the sol gel silica particles (both of which ranges are encompasses by the same prior art relied upon in the sole 35 USC 103(a) rejection).

Nishihara is relied upon in the obviousness rejection for teaching a toner particle with three separate surface additive particles affixed thereto. The surface particles are: a strontium titanate particle, a hydrophobic silica particle, and a titanium oxide particle.

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The strontium titanate particle is taught to be the particle sold under the trade name SW-100, which is taught by the appellant to be coated with polydimethylsiloxane and have an average particle diameter of 80 nm. This is not disputed by the appellant. The appellant states that Nishihara teaches the use of titanium oxide or strontium titanate and further alleges that inferior results were obtained when strontium titanate was used. First, the appellant's allegation that Nishihara teaches the use of titanium oxide or strontium titanate appears to be a misinterpretation as inventive Examples 4 and 9 clearly show the use of both titanium oxide and strontium titanate (Table 1, Columns 11 and 12, see also Col. 3 In. 59 – Col. 4 In. 12). Furthermore, the appellant even cites Example 9 as evidence for the dubious allegation that the use of strontium titanate is noted by Nishihara for resulting in inferior results. Nishihara nowhere "notes" such a finding (except impliedly in Table 3) and the applicant has "cherrypicked" the one inventive example using strontium titanate showing an inferior result to draw this conclusion. Table 1 clearly shows that Examples 3, 4 and 9 all employ strontium titanate, however, only Example 9 shows a result that is less than excellent (see Table 3 for the results and see Column 14 lines 31-67 for descriptions of the symbols in Table 3). Both Examples 3 and 4 achieved the best possible results in all categories tested, including toner fog, despite using strontium titanate but are not mentioned nor apparently factored into the appellants determination that the use of strontium titanate results in inferior properties. Nishihara, however, does not teach particle sizes for the silica or titanium oxide particles or that sol gel silica be used as the silica particle.

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Yamazaki, like Nishihara, teaches a toner particle comprising a binder resin with both silica and titanium oxide particles as surface additive. Furthermore, Yamazaki teaches specific benefits associated with the use of titanium oxide particles and silica particles within a defined particle size range. Specifically, Yamazaki teaches that when titanium oxide particles are provided with a diameter of from 10 to 100 nm they become harder to embed in and liberate from the toner particles, which results in toners that are stable against mechanical stress (p. 16 [0255]). Yamazaki further teaches that a synergistic effect is obtained by employing titanium oxide particles and silica particles in concert with one another (p. 17 [0261-263]). Yamazaki then clearly states that by setting the average particle size of the silica particles in the range of 30 to 100 nm, these synergistic effects become more conspicuous (p. 17 [0264]). Therefore, Yamazaki teaches clear benefits to setting the particle size of titanium oxide particles in the range of 20 to 100 nm and silica particles in the range of 30 to 100 nm, especially when said particles are to be used together. It should be noted that the superior properties of titanium oxide particles with particle sizes in the range of 20 to 100 nm listed above are independent of the synergistic benefits taught to be derived from the use of titanium oxide particles with silica particles. Instead, the titanium oxide particles within this size range are taught to be superior to titanium oxide particles outside of this size range. Said titanium oxide particles, do however, also exhibit the synergistic effects when supplied with silica, but also are taught to be superior outside of these effects. Since Nishihara is silent regarding suitable sizes for the silica and titanium oxide particles, one of ordinary skill in the art would have looked to other references for

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guidance. Yamazaki clearly teaches that explicit benefits are obtained directly from employing said particles within specific particle size ranges.

The applicant also argues that Nishihara does not teach the use of rutile-anatase titanium oxide, however, Nishihara does not specify any crystal form of titanium oxide to use, whether anatase, rutile, or anatase-rutile forms. Therefore, there is no reason to exclude the use of anatase-rutile forms of titanium oxide in the invention of Nishihara. Yamazaki teaches that any of these types of titanium oxide may be used ([0246]) but teaches benefits that make rutile-anatase type titanium oxide more preferable. There is no reason implied or stated in the Nishihara patent, or supplied by the appellant, which would have prevented one of ordinary skill in the art from applying the particle size range of the titanium oxide particles taught by Yamazaki to the titanium oxide particles taught by Nishihara. Yamazaki merely teaches a preference for rutile-anatase type titanium oxide, but also teaches that all crystal forms of titanium oxide are suitable. Nishihara nowhere specifies a preference for a particular crystal form of titanium oxide nor does Nishihara teach away from any particular crystal form of titanium oxide and therefore it can reasonably be assumed that all crystal forms of titanium oxide are suitable for use in the toner of Nishihara.

As stated previously, neither Nishihara nor Yamazaki teach the use of sol gel silica as the external silica additive. Combes, however, also teaches a toner particle comprising a binder resin that further uses sol gel silica as an external additive.

Furthermore, Combes teaches that the use of sol-gel silica imparts additional advantages over conventional metal oxides such as improved transfer efficiency.

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Combes teaches that by surface treating said sol-gel silica, filming and cleaning problems can also be improved (p. 1 [0010-13]). The sol-gel silica is taught to show optimum attachment to toner surfaces when it possesses a particle size in the range of from 100 to 150 nm and the most preferred particle size range is taught to be from 50 to 200 nm (p. 4 [0045]). The appellant argues that Combes teaches away from the use of fumed (or hydrophobic) silica as it results in diminished transfer efficiency in comparison with sol gel silica, and presumably implies that this precludes the combination of the teaching of Combes with the teachings of Nishihara and Yamazaki. However, the combination presented by the examiner does not suggest that the fumed silicas of Nishihara and Yamazaki be used in conjunction with the sol gel silica of Combes. Instead, for precisely the reason given by the appellant (and taken from Combes), the examiner argues that it would have been obvious to replace the fumed silica of Nishihara with the sol gel silica of Combes. Combes specifically teaches that sol gel silica exhibits improved properties over the conventional types of silica taught by Nishihara and therefore one of ordinary skill in the art would have been motivated to use the sol gel silica of Combes in order to obtain the improvements associated therewith. This does not represent the use of impermissible hindsight since Combes specifically teaches that sol gel silica represents an improvement over the type of silica employed by Nishihara. Furthermore, that Combes teaches away from the use of hydrophobic fumed silica in preference of sol gel silica is precisely the motivation for combining the teaching of Combes with that of Nishihara (and Yamazaki). Combes expressly teaches that sol gel silica is better than conventional hydrophobic silica and therefore the skilled

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artisan would have been motivated to substitute sol gel silica for the hydrophobic silica in the toner of Nishihara.

As stated in the opening statement of this section, the appellant alleges that the examiner has not established a proper prima facie case of obviousness because the references fail to disclose the various elements of the appellant's claims and do not suggest the claimed combination, because the examiner has not presented a convincing line of reasoning and because the examiner has used impermissible hindsight to arrive at the appellant's invention. However, as shown above, each element of the appellant's claims has been addressed in the rejection and is taught by the prior art made of record. Furthermore, it has been shown above that the prior art of record explicitly suggests the combinations made by the examiner. Nishihara teaches the use of the three types of additive particles disclosed by the applicant but does not teach suitable particle sizes, or that the silica be a sol gel silica. Yamazaki teaches specific benefits of utilizing titanium oxide particles with a specific particle size range. Combes specifically teaches that sol gel silica provides specific improvements over the type of silica taught by Nishihara and further teaches specific benefits of using said sol gel silica particles in a specific particle size range. Therefore, the prior art, not the examiner, suggests the combination of references since the teaching of each secondary reference relied upon in the rejection (Yamazaki and Combes) suggests improvements over the disclosure of the primary reference (Nishihara). Furthermore, the examiner believes that a convincing line of reasoning has been presented. Yamazaki teaches specific benefits that are obtained by utilizing a particle size range for the titanium oxide

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particles and Combes teaches that the sol gel silica particles are specifically better than the fumed silica particles of Nishihara. Regarding the use of impermissible hindsight, that appellant quotes KSR Int'l v. Teleflex Inc., 127 S. Ct. 1727, 1741 (2007) saying there must be "a reason that would have prompted a person of ordinary skill in the relevant field to combine the elements in the way the claimed new invention does." (See page 10 of 16 of the appellant's Appeal Brief). For the reasons outlined above, the examiner believes that there are clear reasons that would have prompted a person of ordinary skill in the art to have combined the elements in the way the claimed invention does. The motivation supplied in the combinations is not the examiner's own, instead it is reproduced as recited in the prior art made of record. Since the motivation is taken directly from the prior art, an impermissible use of hindsight has not been employed in the obviousness rejection.

### (11) Related Proceeding(s) Appendix

No decision rendered by a court or the Board is identified by the examiner in the Related Appeals and Interferences section of this examiner's answer.

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For the above reasons, it is believed that the rejections should be sustained.

Respectfully submitted,

/Peter L. Vajda/ Patent Examiner, Art Unit 1795

Conferees:

/Mark F. Huff/ Supervisory Patent Examiner, Art Unit 1795

/Diana Dudash/

Primary Examiner